

# Principles of Computer Science II

## Divide and Conquer Algorithms

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Lecture 6

# Divide and Conquer Algorithms

A divide-and-conquer algorithm proceeds in two distinct phases:

- 1 a divide phase in which the algorithm splits a problem instance into smaller problem instances and solves them;
- 2 a conquer phase in which it stitches/merge the solutions to the smaller problems into a solution to the bigger one.

## Why do we need it?

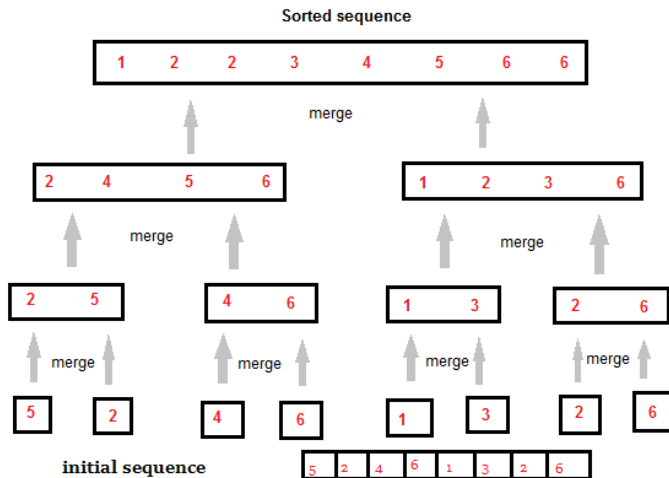
This strategy often works when a solution to a large problem can be built from the solutions of smaller problem instances.

# Merge Sort Algorithm

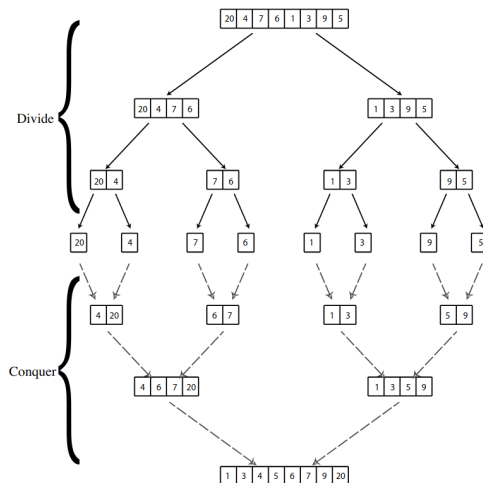
In Merge Sort, an unsorted list is divided into  $N$  sublists, each having one element, because a list consisting of one element is always sorted. Then, it repeatedly merges these sublists, to produce new sorted sublists, and in the end, only one sorted list is produced.

- Divide and Conquer algorithm
- Performance always same for Worst, Average, Best case

# Merge Sort: Example



## Merge Sort: Divide and Conquer algorithm



# Merge Sort Code

```
a = [25, 52, 37, 63, 14, 17, 8, 6]

def mergesort(list):
    if len(list) == 1:
        return list

    left = list[0: len(list) // 2]
    right = list[len(list) // 2:]

    left = mergesort(left)
    right = mergesort(right)

    return merge(left, right)
```

# Merge Sort Code

```
def merge(left, right):
    result = []
    while len(left) > 0 and len(right) > 0:
        if left[0] <= right[0]:
            result.append(left.pop(0))
        else:
            result.append(right.pop(0))

    while len(left) > 0:
        result.append(left.pop(0))

    while len(right) > 0:
        result.append(right.pop(0))

    return result

print("Before: ", a)
r = mergesort(a)
print("After: ", r)
```

# How good is Merge Sort?

- How many comparisons are required until the list is sorted?
  - 1<sup>st</sup> loop: two lists  $\frac{n}{2}$  each
  - 2<sup>nd</sup> loop: four lists  $\frac{n}{4}$  each
  - ...
  - $\log n$  steps
  - For each partition we do  $n$  comparisons
  - In total  $n \log n$  comparisons



# Searching algorithms

Do we know an algorithm/technique to find an element in a list?

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Do we know an algorithm/technique to find an element in a list?  
Which is its time complexity?

# Divide and conquer for search problem - Binary Search

- Binary search is an efficient algorithm for finding an element in a sorted list.
- It requires the array to be sorted.
- The time complexity is  $O(\log n)$ .

# How it Works

- 1 Compare the target element with the middle element of the array.
- 2 If the target is equal to the middle element, the element is found.
- 3 If the target is smaller, search in the left half; if it's larger, search in the right half.
- 4 Repeat until the element is found or the array is exhausted.

# Binary Search: recursive approach

```
def binarySearch(arr, low, high, x):  
    # Check base case  
    if high >= low:  
        mid = low + (high - low) // 2  
        # If element is present at the middle itself  
        if arr[mid] == x:  
            return mid  
        # If element is smaller than mid, then it  
        # can only be present in left subarray  
        elif arr[mid] > x:  
            return binarySearch(arr, low, mid-1, x)  
        # Else the element can only be present  
        # in right subarray  
        else:  
            return binarySearch(arr, mid + 1, high, x)  
    # Element is not present in the array  
    else:  
        return -1
```

## Example: Recursive Binary Search

- Array: {1, 2, 4, 5, 7, 9, 10, 15, 20, 25, 30, 35, 40, 50}
  - Target: 15
- 1 Call: `binarySearch(arr, 0, 13, 15)`  $\Rightarrow$  `mid = 6`,  
`arr[mid] = 10`  $\Rightarrow 15 > 10 \Rightarrow$  recursive call on right half
  - 2 Call: `binarySearch(arr, 7, 13, 15)`  $\Rightarrow$  `mid = 10`,  
`arr[mid] = 30`  $\Rightarrow 15 < 30 \Rightarrow$  recursive call on left half
  - 3 Call: `binarySearch(arr, 7, 9, 15)`  $\Rightarrow$  `mid = 8`,  
`arr[mid] = 20`  $\Rightarrow 15 < 20 \Rightarrow$  recursive call on left half
  - 4 Call: `binarySearch(arr, 7, 7, 15)`  $\Rightarrow$  `mid = 7`,  
`arr[mid] = 15`  $\Rightarrow$  target found

**Target found at index 7**

# Binary Search: iterative approach

```
def binary_search(arr, x):
    left, right = 0, len(arr) - 1
    while left <= right:
        mid = (left + right) // 2
        # Check if x is present at mid
        if arr[mid] == x:
            return mid
        # If x is greater, ignore left half
        elif arr[mid] > x:
            left = mid + 1
        # If x is smaller, ignore right half
        else:
            right = mid - 1
    return -1
```

# Example

- Array: {1, 2, 4, 5, 7, 9, 10, 15, 20, 25, 30, 35, 40, 50}
  - Target: 15
- 1 left = 0, right = 13
  - 2 mid = 6 (array[mid] = 10), since  $15 > 10$ , search in the right half
  - 3 Update left to mid + 1 = 7
  - 4 mid = 10 (array[mid] = 30), since  $15 < 30$ , search in the left half
  - 5 Update right to mid - 1 = 9
  - 6 mid = 8 (array[mid] = 20), since  $15 < 20$ , search in the left half
  - 7 Update right to mid - 1 = 7
  - 8 mid = 7 (array[mid] = 15), **target found at index 7**



# Questions

Space complexity of the two algorithms? Which is better?

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Considering the recursive call stack then the auxiliary space for the recursive approach is  $O(\log N)$ .

The iterative approach space complexity is  $O(1)$

## Questions

Can we do this algorithm on an unsorted list?

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NO

# Why Divide and Conquer performs better on large datasets

- **Smaller subproblems:** Each recursive call divides the problem into smaller pieces, quickly reducing the input size. For example, Binary Search halves the search space at each step.

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- **Adaptability to large data:** Large datasets are often hierarchical or structured; divide and conquer naturally fits this structure.

# Why Divide and Conquer performs better on large datasets

- **Smaller subproblems:** Each recursive call divides the problem into smaller pieces, quickly reducing the input size. For example, Binary Search halves the search space at each step.
- **Adaptability to large data:** Large datasets are often hierarchical or structured; divide and conquer naturally fits this structure.
- **Parallelism:** Independent subproblems can be processed simultaneously on multiple processors/machines, making divide and conquer ideal for modern parallel architectures.

# Problem: Lots of data

- Example: Homo sapiens high coverage assembly GRCh37
  - 27478 contigs.
  - contig length total 3.2 Gbase.
  - chromosome length total 3.1 Gbase.
  - Multiple TBs of data for human genome.
- One computer can read 30-35MB/sec from hard disc
  - ~ 10 months to read the data
- ~ 100 hard drives just to store the data in compressed format
- Even more to **do** something with the data.



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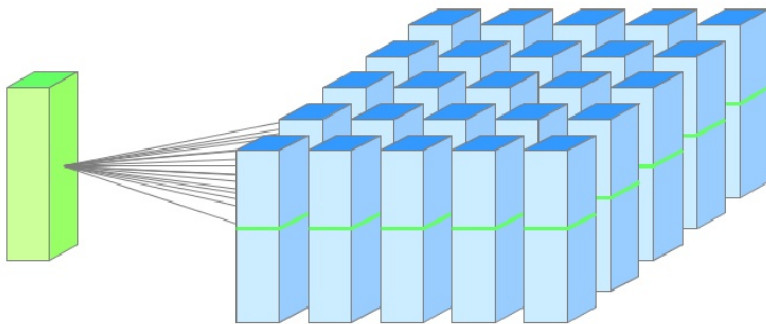
- Good news: same problem with 1000 machines:  $\leq 1$  hour
- Bad news: concurrency
  - communication and coordination
  - recovering from machine failure
  - status reporting
  - debugging
  - optimization

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- Good news: same problem with 1000 machines:  $\leq 1$  hour
- Bad news: concurrency
  - communication and coordination
  - recovering from machine failure
  - status reporting
  - debugging
  - optimization
- Bad news 2: repeat for every problem you want to solve

# Computing Clusters

- Many racks of computers
- Thousands of machines per cluster
- Limited bandwidth between racks



Master

Slaves/Replicas

# Computing Environment (e.g., AWS, Google Cloud Service)

- Each machine has 2-4 CPUs
  - Typically quad-core
  - Future machines will have more cores
- 1-6 locally-attached disks
  - ~ 10TB of disk
- Overall performance more important than peak performance of single machines
- Reliability
  - In 1 server environment, it may stay up for three years (1000 days)
  - If you have 10000 servers, expect to lose 10 each day
- Ultra reliable hardware still fails
  - We need to keep in mind cost of each machine

# Map Reduce Computing Paradigm

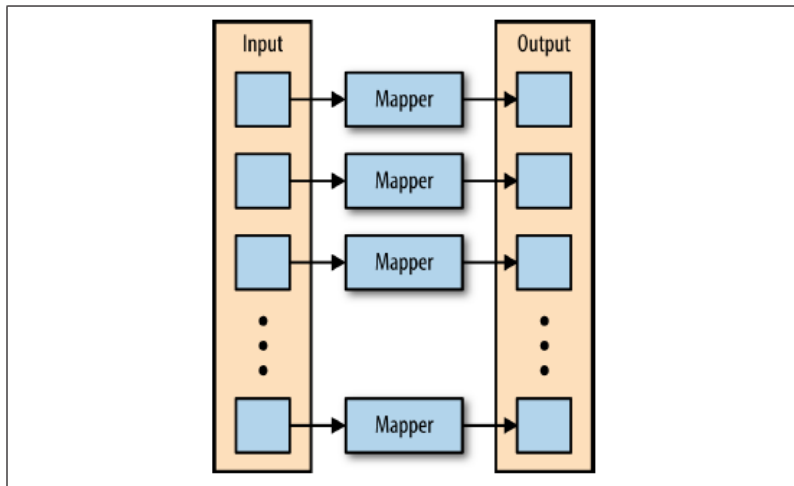
- A simple programming model
  - Applies to large-scale computing problems
- Hides difficulties of concurrency
  - automatic parallelization
  - load balancing
  - network and disk transfer optimization
  - handling of machine failures
  - robustness
  - improvements to core libraries benefit all users of library

# A typical problem

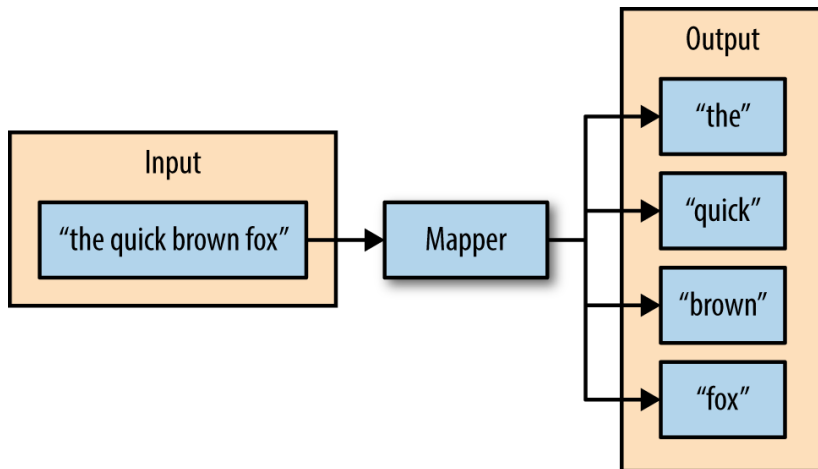
- Read a lot of data
- **Map**: extract something important from each record
- Shuffle and sort
- **Reduce**: aggregate, summarize, filter or transform
- Write the results



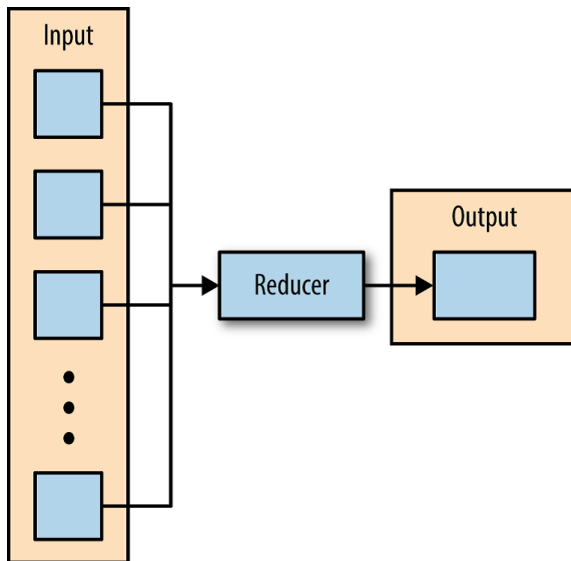
# How map works



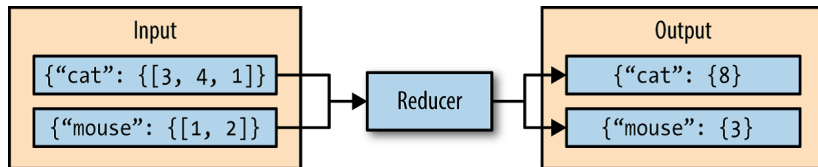
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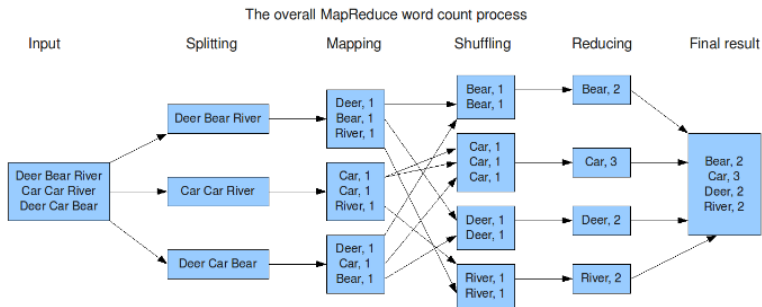


## Map Reduce Computing Paradigm



# How reduce works





# In more details

- Programmer specifies two primary methods:
  - $\text{map}(k, v, \text{script}) \rightarrow \langle k', v' \rangle^*$ 
    - Takes a key-value pair and outputs a set of key-value pairs arranged according to **script**
    - \* denotes a set of pairs
    - There is one Map call for every  $(k, v)$  pair
  - $\text{reduce}(k', \langle v' \rangle^*, \text{script}') \rightarrow \langle k', v' \rangle^*$ 
    - All values  $v'$  with same key  $k'$  are reduced together with **script'** and processed in  $v'$  order
    - There is one Reduce function call per unique key  $k'$
- All  $v'$  with same  $k'$  are reduced together with **script'**, in order.

# An example: Frequencies in DNA sequence

A typical exercise for a new engineer in his/her first week:

- Input files with one document per record
- Specify a **map** function that takes a key/value pair
  - key = document URL
  - value = document contents
- Output of map function is (potentially many) key/value pairs.
- In this case, output:  
(word, 1) once per word in the document

“document 1”, “CTGGGCTAA”

converted to

(C, 1), (T, 1), (G, 1), (G, 1), (G, 1), (C, 1), ...

## An example: Frequencies in DNA sequence

- MapReduce library gathers together all pairs with the same key (shuffle/sort)
- The **reduce** function combines the values for a key
- In this example:

key = "A"  
values = 1, 1  
**summarize**  
2

key = "G"  
values = 1, 1, 1  
**summarize**  
3

key = "C"  
values = 1, 1  
**summarize**  
2

key = "T"  
values = 1, 1  
**summarize**  
2

- Output of reduce paired with key and saved

(A, 3), (G, 3), (C, 2), (T, 2)



# An example: Frequencies in DNA sequence

```
s = 'CTGGGCTAA'
seq = list(s)  #['C', 'T', 'G', 'G', 'G', 'C', 'T', 'A', 'A']
sc.map(lambda symbol: (symbol, 1))\
    .reduce(add)\
    .collect()
```

Output:

```
[('A', 2), ('C', 2), ('G', 3), ('T', 2)]
```

# Fault tolerance: handled via re-execution

In large scale computation on multiple nodes, there is a master that orchestrate the entire computation and workers that executes what the master tell them to do.

- On worker failure:
  - Detect failure via periodic heartbeats
  - Re-execute completed and in-progress map tasks
  - Re-execute in progress reduce tasks
  - Task completion committed through master
- On master failure:
  - Restart execution

# Let us see map reduce in Python

Open this Jupyter Notebook and let us see how to use MapReduce (there are two exercises at the end): [https://drive.google.com/file/d/1Cf3UWGZPi0G9iXvIXpsh2jIlAmu6WlF/view?usp=drive\\_link](https://drive.google.com/file/d/1Cf3UWGZPi0G9iXvIXpsh2jIlAmu6WlF/view?usp=drive_link)

